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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/662,495	09/15/2003	John W. Chamberlain	0112300-768	9203

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EXAMINER

NGUYEN, BINH AN DUC

ART UNIT	PAPER NUMBER
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3714

DATE MAILED: 10/10/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/662,495

Applicant(s)

CHAMBERLAIN ET AL.

Examiner

Binh-An D. Nguyen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 September 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

The Request for Continued Examination filed September 26, 2006 has been approved. Further, the Amendment filed September 26, 2006 has been received. According to the Amendment, claims 1, 6, 7, 10-12, 20, and 21 have been amended. Currently, claims 1-25 are pending in the application. Acknowledgment has been made.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-25 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

In claims 1 and 21, the "second processor of the kiosk" has not been disclosed in the specification. Note that, as being disclosed in the specification, the kiosk is controlled by the control unit 130 (Fig.9) which includes only processor 132 (specification, page 35, lines 4-15).

In claim 10, the "third processor" is equivalent to the "second processor" of claims 1 and 21. As being addressed above regarding claims 1 and 21, this processor has not been disclosed in the specification.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-25, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Rowe (2002/0002075) in view of Crevett et al. (5,902,983).

Referring to claims 1, 6, 7, 10-12, and 20-23, Rowe et al. teaches a system and method for electronically transferring funds comprising: a gaming device (102, 20) having a ticket reader and a processor operable with the ticket reader (paragraphs 11, 125-128); a kiosk having a controller communicating via at least one network with a remote fund repository; and a ticket having an electronic fund transfer approved by the remote fund repository, the ticket issued by a ticket printer having identification information readable by the ticket reader; the kiosk comprising a controller that communicates over at least one network with a remote fund repository, a display operable with the controller to display electronic fund transfer information to a person, an input device operable with the controller to enable the person to enter electronic fund transfer information, wherein the ticket includes an approved electronic fund transfer and identification information readable by the remote gaming device; and a housing that supports the controller, display, input device and ticket printer; transmitting electronically a fund request from an electronic fund transfer kiosk to a remote fund repository;

receiving, at the electronic fund transfer kiosk, a response from the remote fund repository; and printing a ticket having an approved electronic fund transfer if the response is an approval and enabling the ticket to be inserted into the gaming device and used to provide at least one gaming device credit (paragraph 165). Note, the limitations of (game machine processor) operable to communicate with a ticket validation network (for validating ticket) (claims 10, 23); an electronic fund transfer kiosk having a ticket printer (for printing ticket) and a controller that operates with the ticket printer, the ticket printer operable with the controller to issue the ticket (claims 1, 10, and 21); a receipt printer operable with the controller to provide a receipt to the person confirming an amount of the approved electronic fund transfer (claims 7 and 22) are inherent from Rowe's teaching of using kiosk (linking with game machines and financial hosts (Fig. 2)) to engage in financial and reward transactions wherein such transactions include providing and/or obtaining access media (i.e., tickets, card, chips, etc.) (paragraphs 56, 135, 165), and obtaining printed receipt (paragraph 93). **Rowe et al. does not explicitly teach** the controller (of the kiosk) having two different processors wherein the first processor communicates over a ticket validation network with a ticket validation system, and wherein the second processor communicates over an electronic fund transfer network with a remote fund repository, the electronic fund transfer network is separate from the ticket validation network; and the ticket (printed from the kiosk's printer) includes identification information readable by the remote gaming device for validation of the ticket by the ticket validation system via the ticket validation network or receiving, at the electronic fund transfer kiosk, identification information from a ticket

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validation system via a ticket validation network (claims 1, 10, and 21). **Crevelt et al., however, teaches** a system and method for electronically transferring funds comprising a plurality of gaming devices communicates over a ticket validation network with a ticket validation system (coupon or casino card/ticket validation network which validates in-house account, 1:27-46, 10:55-11:9) using a game processor (6)(**or first processor**); and communicates over an electronic fund transfer network with a remote fund repository (EFT Host 56), wherein the electronic fund transfer network is separate from the ticket validation network (7:45-8:17); and the ticket (printed from the kiosk's printer) includes identification information readable by the remote gaming device for validation of the ticket by the ticket validation system via the ticket validation network or receiving, at the electronic fund transfer kiosk, identification information from a ticket validation system via a ticket validation network (Fig.3; 1:27-46, 8:18-67, 10:55-11:9). **Note**, **Crevelt et al. further teaches each of the gaming machines has the same function as the applicant's kiosk (10:55-11:52).** Further, Crevelt et al.'s ticket validation performed by the local or in-house network is equivalent to the applicants' claimed validation network; and Crevelt et al.'s electronic fund transfer or fund transfer request performed by the wide area network is equivalent to the applicants' claimed remote fund transfer or fund repository network. Also, the fund transferring function and the ticket validation function are independent to each other. Furthermore, Crevelt et al.'s player interface 12 (including card reader (14), display (18), and keypad (16)) and gaming machine interface (10) are equivalent to the applicants' claimed electronic funds transfer control unit or second processor of the kiosk. **Further note that**, in the reference of

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Crevelt et al., the player interface (12) coupled directly to gaming interface (10) provides the mechanism necessary for a player to initiate the funds transfers to and from the EFT system (11) (5:41-63), therefore, a second processor is inherent or necessarily exist for the card reader to read encoded information on a debit or credit card and to process player input PIN, as well as controlling the display 18, and further, communicate input information or request to the EFT system (11).

Regarding the second and third processor of claim 10, these processors are equivalent to the first and second processors addressed above.

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to provide Crevelt et al.'s gaming machine's electronic fund transfer and ticket validation capability to the kiosk of Rowe to come up with a casino fund transfer kiosk that provides game players more access and convenience to electronic fund transfer to different type of game devices at different casino gaming areas, thus made casino gaming experience more enjoyable and increase casino profit.

Referring to claim 2, Rowe teaches the input device includes a multi-button keypad (paragraphs 15, 140, 154, 157, 192).

Referring to claims 3-5, Rowe further teaches a printer for printing ticket; a display; and input device. Rowe does not explicitly teach the ticket printer is a thermal printer (claim 3); the display includes a vacuum fluorescent display (claim 4); the input device includes a touch screen operable with the display (claim 5), these limitations, however, are design choices since they do not effect or bring unexpected results to the gaming device.

Referring to claim 6, Rowe teaches using access media (i.e., ticket, card, etc.)(paragraphs 18, 53, 56) could be used to obtain cash from ATM kiosk (paragraphs 90, 91, 176). Note that, the ATM access station is considered as a kiosk. Furthermore, Rowe teaches access media. Regarding the limitation of a ticket printed by the remote gaming device, this limitation has been admitted by the applicants as prior art (specification, page 3, lines 22-26).

Referring to claim 8, Rowe teaches the network is a wide area network.

Referring to claim 9, Rowe teaches the identification information includes a bar code (paragraph 128).

Referring to claim 11, Rowe teaches network operating with the (game machine) controller links a plurality of electronic fund transfer kiosks (paragraph 140).

Referring to claim 12, Rowe teaches the network operating with the controller links a plurality of remote fund repositories (via financial server (120)).

Referring to claims 13-16, Rowe teaches a plurality of gaming devices, each gaming device including one of the ticket readers; each of the gaming devices is located proximate or remote to the electronic fund transfer kiosk (provided in casino, paragraph 192); at least two of the gaming devices are different types of gaming devices (paragraphs 125, 126, 190).

Referring to claims 17 and 18, Rowe teaches ticket validation network is local within a gaming establishment (paragraph 184); and an operator interface device that operates with the ticket validation network to verify the ticket (service center, i.e., cashier booths) (paragraph 141).



Referring to claim 19, Rowe teaches the ticket validation network is a fiber-optic network (paragraph 135).

Referring to claim 20, Rowe teaches the card reader accepting at least one card selected from the group consisting of: a credit card, a debit card, a gaming establishment card and any combination thereof (paragraph 128).

Referring to claim 24, Rowe teaches providing account information if the response is a rejection (paragraph 71);

Referring to claim 25, Rowe teaches transmitting the fund request includes transmitting the request over an internet (paragraphs 21, 39, 178).

### ***Response to Arguments***

Applicant's arguments with respect to claims 1-25 have been considered but are moot in view of the new ground(s) of rejection necessitated by the amendment.

### ***Conclusion***


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Binh-An D. Nguyen whose telephone number is 571-272-4440. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Xuan Thai can be reached on 571-272-7147. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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TC3700